A

10/19/01

UTILITY
PATENT APPLICATION
TRANSMITTAL

| Attorney Docket No. | | A33940 | | |
|---------------------|---------------------------------------|-------------|----------------|--|
| First Inventor | | Kravitz, D. | | |
| Title | Cryptograph | ic Data | * see attached | |
| | · · · · · · · · · · · · · · · · · · · | FF224 | 20101ELIC | |

| | (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Express Mail Label No. EF321681015US |
|-----|---|---|
| | APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| | Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification [Total Pages 40] - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies |
| ļ.i | - Brief Summary of the Invention - Brief Description of the Drawings (if filed) | ACCOMPANYING APPLICATION PARTS |
| | - Detailed Description Claim(s) Abstract of the Disclosure Total Sheets Total Sheets Drawing(s) (35 U.S.C. 113) Total Sheets Total Sheets | 9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of Attorney 11. English Translation Document (if applicable) |
| Ţ | | Information Disclosure Copies of IDS |
| | 5. Oath or Declaration [Total Pages] a. Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) | 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| | i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |
| | 6. Application Data Sheet. See 37 CFR 1.76 | 17. Other: |
| | 18. If a CONTINUING APPLICATION, check appropriate box, and super in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of Box 5b, is considered a part of the disclosure of the accompanying continuation. | of prior application No.: Group Art Unit: the prior application, from which an oath or declaration is supplied under nuation or divisional application and is hereby incorporated by reference. |
| ŀ | The incorporation can only be relied upon when a portion has been inadvented as 19. CORRESPOND | |
| i | Customer Number or Bar Code Label (Insert Customer No. or Attachb | |
| 1 | Name | |
| ' | Address | |
| ı | City | State Zip Code |
| | Country Te | lephone Fax |
| ٠ | Name (Print/Type) Jeffrey D. Sullivan | Registration No. (Attorney/Agent) 43,170 |
| | Signature Jeffrey Do Sull | Date 10/19/01 |



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) | 8 | 9 | 2 |
|--------------|--------|-------------|---|
| \ + / | \sim | $\mathbf{}$ | _ |

| Complete if Known | | |
|----------------------|-------------|--|
| Application Number | | |
| Filing Date | 10/19/01 | |
| First Named Inventor | Kravitz, D. | |
| Examiner Name | | |
| Group Art Unit | | |
| Attorney Docket No. | A33940 | |

| L | METHOD OF PAYMENT | | | FE | E CALCULATION (continued) | |
|------------|---|------|--------------------|---------------|--|----------|
| ſ | 1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to: | | 3. ADDITIONAL FEES | | | |
| | indicated fees and credit any overpayments to: | | Large | Smal | | |
| ı | Account Number 02-4377 | | Entity Fee | Entity Fee | y Fee Description | Fee Paid |
| | Denosit | | (\$) | (\$) | ree Description | 1001010 |
| ı | Account Name Baker Botts LLP | | 130 | 65 | Surcharge - late filing fee or oath | |
| l | Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | Applicant claims small entity status. | | 130 | 130 | Non-English specification | |
| - | See 37 CFR 1.27 | 1 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| | 2. Payment Enclosed: | l | 920* | 920* | Requesting publication of SIR prior to | |
| ÷ | Check Credit card Money Other | | 4 040* | 4 840* | Examiner action | |
| = | FEE CALCULATION | 1 | 1,840* | 1,040 | Requesting publication of SIR after Examiner action | |
| | 1. BASIC FILING FEE | 1 | 110 | 55 | Extension for reply within first month | |
| | Large Entity Small Entity | | 400 | 200 | Extension for reply within second month | |
| = | Fee Fee Fee Description (\$) (\$) Fee Paid | | 920 | 460 | Extension for reply within third month | |
| <i>∓</i> : | 740 370 Utility filing fee 370 | | 1,440 | 720 | Extension for reply within fourth month | |
| · | 330 165 Design filing fee | | 1,960 | 980 | Extension for reply within fifth month | |
| == | 510 255 Plant filing fee | | 320 | 160 | Notice of Appeal | |
| | 740 370 Reissue filing fee | | 320 | 160 | Filing a brief in support of an appeal | |
| - | 160 80 Provisional filing fee | | 280 | 140 | Request for oral hearing | |
| | CURTOTAL (4) (¢) 270 | | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| - | SUBTOTAL (1) (\$) 370 | | 110 | 55 | Petition to revive - unavoidable | |
| - | 2. EXTRA CLAIM FEES Fee from | | 1,280 | 640 | Petition to revive - unintentional | |
| 1 | Extra Claims below Fee Paid | ı | 1,280 | 640 | Utility issue fee (or reissue) | |
| ı | Total Claims 36 20 ** = 16 x 9 = 144 | | 460 | | Design issue fee | |
| 1 | Independent 12 - 3 ** = 9 x 42 = 378 | | 620 | 310 | Plant issue fee | |
| ı | Multiple Dependent = | ŀ | 130 | 130 | Petitions to the Commissioner | |
| | | 1 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1 | Large Entity Small Entity Fee Fee Fee Description | | 180 | 180 | Submission of Information Disclosure Stmt | |
| ı | (\$) (\$) 18 9 Claims in excess of 20 | | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| | 84 42 Independent claims in excess of 3 | | 740 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | 280 140 Multiple dependent claim, if not paid 84 42 ** Reissue independent claims | | 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1 | over original patent | 1 | 740 | 370 | Request for Continued Examination (RCE) | |
| | 18 9 ** Reissue claims in excess of 20 and over original patent | | 900 | 900 | Request for expedited examination | |
| | SUBTOTAL (2) (\$) 522 | Othe | er fee (speci | fy) | of a design application | |
| | **or number previously paid, if greater; For Reissues, see above | *Re | duced by Ba | ısic Filing | Fee Paid SUBTOTAL (3) (\$) 0 | |

| SUBMITTED BY | 222_ | | Complete (if applicable) |
|-------------------|---------------------|-------------------------|--------------------------|
| Name (Print/Type) | Jeffrey D. Sullivan | Registration No. 43,170 | Telephone (212) 408-2589 |
| Signature | May 8 | VI, | Date 10/19/01 |

CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail Certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

| • | 2. 20231. |
|--|--|
| 37 C.F.R. 1.8(a) | 37 C.F.R. 1.10* |
| with sufficient postage as first class mail. | as "Express Mail Post Office to Address" |
| - | Mailing Label No. EF321681015US (mail |
| | Jeffreys D. Sullin |
| | Signature |
| Pate: 10/19/01 | • |
| | Jeffrey D. Sullivan |

*WARNING: Each paper of fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing 37 C.F.R. 1.10(b). "Since the filing of correspondence under § 1.10 without the Express Mail label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition. "Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

BAKER BOTTS LLP

Att Docket #: A33940

Addendum Sheet 1

| *Question 4 Addendum 4.a. Drawings are | |
|--|--------|
| * Question 5 Addendum 5.c. ☐An unsigned oath or declaration is included. | · · |
| * Question 8 Addendum 8.d. A sequence submission will follow. | |
| * Question 9 Addendum 9.a. Assignment documents will follow. 9.b. Assignment documents have been filed in parent application No. | |
| * Question 11 Addendum 11.a ☐ English translation will follow. | |
| * Question 12 Addendum 12.a. Copies of IDS citations will follow. | • |
| * Question 15 Addendum 15.a. Certified copies of priority documents will follow 15.b Certified copies of priority documents have bee application No. | |
| Use the space below for additional information | |
| , | |
| Title (continued): Cryptographic Data Security System and Method | |

BAKER BOTTS LLE

Att Docket #: A33940

Addendum Sheet 2

| | n. If a CONTINUING APPLICATION, <i>c</i> In an Application Data S <u>hee</u> t under 37 | | ox, and supply the requisite in | formation below and in a preliminary amendment, |
|-----------------------|--|---------------------------|----------------------------------|---|
| | Continuation Divisional | Continuation- | in-part (CIP) of prior app | lication No.: |
| P | rior application information: Exam | iner | Group Art (| Jnit: |
| or ir | an Application Data Sheet under 37 Continuation Divisional | CFR 1.76: Continuation-i | in-part (CIP) of prior app | formation below and in a preliminary amendment, |
| | rior application information: Exami | | • | Init: |
| | If a CONTINUING APPLICATION, ch an Application Data Sheet under 37 (| | x, and supply the requisite info | ormation below and in a preliminary amendment, |
| | Continuation Divisional | Continuation-ir | n-part (CIP) of prior appli | cation No.: |
| Pri | or application information: Examin | ner | Group Art Ui | nit: |
| | Amend the specificaton by inserting | g before the first lin | e the sentence(s) | |
| | This application is a Contin | uation Divis | sional Continuation-ir | n-part (CIP) of: |
| <u> </u> | "This application is based upon: | | | |
| | prior application No.: | | | , incorporated by reference herein, |
| | which is a Continuation | Divisional | Continuation-in-part (Cl | P) of: |
| - - - - - | which is based upon: | | | |
| Ī | prior application No. | | | , incorporated by reference herein, |
| <u>I</u> | which is a Continuation | Divisional | Continuation-in-part (Cl | P) of: |
| ≡ ≟ | which is based upon: | | | |
| | prior application No.: | | | , incorporated by reference herein, |
| 4 | which is a Continuation | Divisional | Continuation-in-part (CI | P) of: |
| Ō | which is based upon: | | | |
| <u> </u> | prior application No.: | | | , incorporated by reference herein, |
| | which is a Continuation | Divisional | Continuation-in-part (C | IP) of: |
| | which is based upon: | | | |
| | prior application No. | | | , incorporated by reference herein, |
| | which is a Continuation | Divisional | Continuation-in-part (C | IP) of: |
| | which is based upon: | | , , | , |
| | prior application No. | | | , incorporated by reference herein |
| | | | | • |
| - | under 35.U.S.C. §119 is claimed base Applications: | d upon the following | g applications. | |
| • | ountry: | Serial N | lo: | Filing Date: |
| Co | Country: Serial No: | | Filing Date: | |
| C | ountry: | Serial N | lo: | Filing Date: |
| rovisio | nal Applications: | | | |
| | erial No: 60/242,083 | | ng Date: 10/20/00 | |
| | erial No: <u>60/246,843</u> | | | |
| S | erial No: | . Filir | ng Date: | |